

# 1 Comparison of Various Spectral Indices for Optimum Extraction 2 of Tropical Wetlands Using Landsat 8 OLI

3

4 **Abstract** This research specifically aims to investigate the most accurate spectral indices in extracting wetlands  
5 geospatial information taking South Kalimantan, Indonesia, as an example of wetlands in tropical areas. Ten  
6 spectral indices were selected for testing their ability to extract wetlands, those are NDVI, NDWI, MNDWI,  
7 MNDWIs2, NDMI, WRI, NDPI, TCWT, AWEInsh, and AWEIsh. Tests were performed on Landsat 8 OLI path/row  
8 117/062 and 117/063. The threshold method which was used to separate the wetland features from the spectral  
9 indices imagery is Otsu method. The results of this research showed that generally MNDWIs2 was the most optimal  
10 spectral indices in wetlands extraction. Especially tropical wetlands that rich with green vegetation cover. However,  
11 MNDWIs2 is very sensitive to dense vegetation, this feature has the potential to be detected as wetlands. Furthermore,  
12 to improve the accuracy and prevent detection of the dryland vegetation as wetlands, the threshold value should be  
13 determined carefully.

14

15 **Key words:** wetlands; spectral indices; Landsat 8 OLI; South Kalimantan

16

17 **Abstrak** Penelitian ini bertujuan untuk menginvestigasi indeks spektral yang paling akurat dalam ekstraksi  
18 informasi geospasial lahan basah di Kalimantan Selatan, Indonesia, sebagai sampel lahan basah di daerah tropis.  
19 Sepuluh indeks spektral dipilih untuk diuji kemampuannya dalam mengekstrak lahan basah, yaitu NDVI, NDWI,  
20 MNDWI, MNDWIs2, NDMI, WRI, NDPI, TCWT, AWEInsh, dan AWEIsh. Uji coba dilakukan pada Citra Landsat  
21 8 OLI path/row 117/062 and 117/063. Metode pembatasan nilai yang digunakan untuk memisahkan fitur lahan  
22 basah dari citra indeks spektral adalah metode Otsu. Hasil penelitian ini menunjukkan bahwa, secara umum  
23 MNDWIs2 merupakan indeks spektral yang paling optimal dalam ekstaksi lahan basah. Khususnya lahan basah  
24 tropis yang kaya dengan penutupan vegetasi hijau. Akan tetapi, MNDWIs2 sangat sensitif terhadap vegetasi rapat,  
25 fitur ini berpotensi untuk terdeteksi sebagai lahan basah. Lebih jauh, untuk meningkatkan akurasi dan mencegah  
26 vegetasi lahan kering terdeteksi sebagai lahan basah, nilai threshold harus ditentukan secara hati-hati.

27

28 **Kata kunci :** lahan basah; indeks spektral; Landsat 8 OLI; Kalimantan Selatan

29

30

31

32

33

1 **1. Introduction**

2

3 Wetlands are ecosystems saturated with water, either seasonally or permanently (EPA,  
4 2004). According to the Ramsar Convention on Wetlands 1993 (Matthews, 2013), based on the  
5 habitat, wetlands classified into marine and coastal wetlands, inland wetlands, and man-made  
6 wetlands. In the South Kalimantan Province, Indonesia, wetlands are one of the main features  
7 of the landscape.

8 The characteristics of tropical wetlands located in South Kalimantan Province are quite  
9 varied. For example, shallow water has a main characteristic, that is rich with green vegetation  
10 cover. On the deep water bodies (rivers) in this area, the waters have high enough levels of  
11 turbidity. In South Kalimantan, there are also quite a lot of open pit coal mining activities. The  
12 water inside the pits the rest of the coal mine will be mixed with the toxic minerals out of the  
13 mine. Hence, on the ground the pits look green. The green colour was formed distinct spectral  
14 signatures in multispectral optical imagery.

15 So far, various methods have been developed for the extraction of wetlands geospatial  
16 data automatically. For example, the Normalized Difference Water Index (NDWI) (McFeeters,  
17 1996), Modified Normalized Difference Water Index (MNDWI) (Xu, 2006), and so forth.  
18 NDWI and MNDWI are the two most popular spectral indices for the extraction of water  
19 features or wetland features. Their ability to extract open water features or wetland features has  
20 been tested from several research results. Besides NDWI or MNDWI, there are also a number  
21 of other spectral indices that can potentially be used to separate wetland features from other  
22 features.

23 In general, spectral indices such as NDWI or MNDWI are actually developed to  
24 separate open water features from other features. Some research indicates that the spectral  
25 indices are very accurate in extracting the boundaries of water features. For example, Xu (2006)  
26 proved that MNDWI more accurate than NDWI when applied to the three water features, i.e.  
27 lakes, oceans, and rivers. Similar to Xu (2006), Li et al. (2013) also found that MNDWI more  
28 accurate than NDWI to the TM, ETM +, and ALI imagery. To further test MNDWI's  
29 capabilities, Jiang et al. (2014) developed the Automated Method for Extracting Rivers and

**Commented [A1]:** Provide references here all the several research results you mentioned

1 Lakes (AMERL) for the extraction of rivers and lakes automatically from Landsat TM/ETM +.  
2 It was found that in general, MNDWI remains the best among the three other spectral indices.

3 Du et al. (2016) used MNDWI on the Sentinel-2 imagery, where the SWIR band of  
4 Sentinel-2 sharpened to 10 meters by a number of pan-sharpening method. Du et al. (2016)  
5 found that MNDWI with a combination of Principle Component Analysis (PCA) is more  
6 accurate than the NDWI and MNDWI with a combination of other pan-sharpening.

7 In other cases, other spectral indices have proven to be more accurate in extracting open  
8 water or wetlands features. For example, when Ashraf and Nawaz (2015) detect changes in the  
9 wetlands of the Baraila Lake (India) using four spectral indices, they found that in general  
10 NDWI is the most accurate method when verified using the field data. Similar to Ashraf and  
11 Nawaz, Das and Pal (2016) also found that NDWI was the most accurate spectral indices, when  
12 they compared six spectral indices. While Zhai et al. (2015) when comparing surface water  
13 extraction performances of four indices using Landsat TM and OLI, they found that  
14 Automated Water Extraction Index (AWEI) has the highest overall accuracy.

15 Kwak and Iwami (2014) developed a Modified Land Surface Water Index (MLSWI),  
16 they use it on flood inundation mapping using MODIS imagery and they test its accuracy using  
17 ALOS AVNIR 2. They found that MLSWI more accurate than Normalized Difference  
18 Vegetation Index (NDVI) and Land Surface Water Index (LSWI).

19 Several other researchers, such as Xie et al. (2016), they make further use of the spectral  
20 index to extract water features at the sub pixel level. They used MNDWI to separate the pure  
21 land pixel and pure water pixel in Spectral Mixture Analysis (SMA), for mapping the surface  
22 of the water of lakes and rivers automatically at sub pixel level.

23 Other researchers, such as Yang et al. (2015) combined spectral indices and single band  
24 multispectral imagery simultaneously to extract water features. They use a number of spectral  
25 indices and single band on Landsat 8 OLI to extract the water bodies. Those are, the single-  
26 band threshold in band 5, multiband spectral relationship b2, b3, b4, b5, NDVI, NDWI,  
27 MNDWI, Normalized Difference Built-up Index (NDBI), TCT, and Hue, Intensity and  
28 Saturation (HIS). Where all of the spectral indices and bands are combined using deep learning  
29 algorithm, called Stacked Sparse Autoencoder (SSAE).

1           Although the spectral indices such as NDWI, MNDWI, NDVI, or others are accurate  
2 to separate open water features from other features, but it still needs to be studied further,  
3 whether these spectral indices are also accurate when used to separate wetland features from  
4 dryland features. Because, most of the wetlands in tropical areas has a spectral characteristic of  
5 water and green vegetation simultaneously. This research aimed to compare the accuracy of  
6 some of the spectral indices for optimizing the extraction of wetlands, by taking the case of the  
7 tropics area, that is, the South Kalimantan Province, Indonesia.

8

## 9 **2.The Methods**

10

### 11 2.1.Materials

12

13           This research used two scenes of Landsat 8 OLI, the path/row 117/062 and 117/063, the  
14 acquisition on April 22, 2015. Most of the wetlands in South Kalimantan to be in these two  
15 scenes. Imageries acquiring date selected on April because it was the rainy season. Therefore,  
16 the condition of wetlands is at the maximum extends.

17           Overall spectral indices in this study applied to Landsat 8 Operational Land Imager (OLI)  
18 surface reflectance imageries. Atmospheric correction methods used in this research was the  
19 Dark Object Subtraction 4 (DOS4) (Chavez, 1988; Chavez, 1996; Zhang et al., 2010; Hong et  
20 al., 2014).



Figure 1. Research location

**Commented [A2]:** Provide coordinate to the image and also an inset. Some toponym will also be useful

## 2.2. Water Indices

Water indices is a generic term for all of the spectral indices intended to sharpen the water features. One of the water indices which is most extensively used is NDWI (McFeeters, 1996). According to McFeeters (1996), if the pixel values of NDWI are positive means the water features. Thus, the value of 0 by McFeeters (1996) is set as the threshold value. NDWI formulated by McFeeters (1996) as follows:

$$NDWI = \frac{\rho_g - \rho_n}{\rho_g + \rho_n}$$

Where:

- $\rho_g$ : green band
- $\rho_n$ : near infrared band

**Formatted:** List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm

**Formatted:** Font: Minion Pro

1 Due to lack of NDWI in error detection features of the building, Xu (2006) modifying  
2 NDWI become MNDWI, by changing NIR band into SWIR. In this case, Xu (2006) using the  
3 SWIR1.

$$4 \quad \text{MNDWI} = \frac{\rho_g - \rho_s}{\rho_g + \rho_s}$$

5 Where:

6 •  $\rho_s$ : shortwave infrared band

7 In this research, we were also adding a water index modified from MNDWI, by  
8 replacing the SWIR1 in MNDWI with SWIR2. Thus, the MNDWI<sub>s2</sub> formula that we modified  
9 in this research is as follows:

$$10 \quad \text{MNDWI}_{s2} = \frac{\rho_g - \rho_{s2}}{\rho_g + \rho_{s2}}$$

11 Where:

12 •  $\rho_{s2}$ : shortwave infrared 2 band

13 Xu (2006) replaces NIR with SWIR1 in NDWI (McFeeters, 1996) with the aim to  
14 suppress building features, because in the SWIR1, soil and building reflectance higher than  
15 NIR. In this research, we replace SWIR1 into SWIR2, with the aim to capture the spectral  
16 vegetation located above the wetlands. Because vegetation reflectance in SWIR2 is not as high  
17 as SWIR1 and NIR.

18 Besides NDWI, MNDWI and MNDWI<sub>s2</sub>, there are various other spectral indices to be  
19 tested in this research. Table 1 shows the full list of spectral indices which are capabilities will  
20 be compared in this study.

21  
22  
23  
24  
25  
26  
27  
28

Formatted: List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm

Formatted: Font: Minion Pro

Formatted: Font: Minion Pro

Formatted: List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm

1  
2  
3

Table 1. List of the spectral indices used in the research

**Commented [A3]:** NDWI, MNDWI, and MNDWI<sub>s2</sub> were explained in more detail. Why other indices are not?

No.	Spectral Indices	Formula	Value of Water	Reference
1.	NDVI Normalized Difference Vegetation Index	$\frac{\rho_n - \rho_r}{\rho_n + \rho_r}$	Negative	Rouse et al. (1973)
2.	NDWI Normalized Difference Water Index	$\frac{\rho_g - \rho_n}{\rho_g + \rho_n}$	Positive	McFeeters (1996)
3.	MNDWI Modified Normalized Difference Water Index	$\frac{\rho_g - \rho_{s1}}{\rho_g + \rho_{s1}}$	Positive	Xu (2006)
4.	MNDWI <sub>s2</sub> Modified Normalized Difference Water Index with SWIR2	$\frac{\rho_g - \rho_{s2}}{\rho_g + \rho_{s2}}$	Positive	This research
5.	NDMI Normalized Difference Moisture Index	$\frac{\rho_n - \rho_s}{\rho_n + \rho_s}$	Positive	Gao (1996); Wilson and Sader (2002); Xiao et al. (2002); Lacaux et al. (2007)
6.	WRI Water Ratio Index	$\frac{\rho_g + \rho_r}{\rho_n + \rho_s}$	Greater than 1	Shen (2010)
7.	NDPI Normalized Difference Pond Index	$\frac{\rho_s - \rho_g}{\rho_s + \rho_g}$	Negative	Lacaux et al. (2007)
8.	TCWT Tasseled-Cap Transformation	$0.1877\rho_{ca} + 0.2097\rho_b + 0.2038\rho_g + 0.1017\rho_r + 0.0685\rho_n - 0.7460\rho_{s1} - 0.5548\rho_{s2}$	-	Li et al. (2015)
9.	AWEI <sub>nsh</sub> Automated Water Extraction Index with no shadow	$4(\rho_g - \rho_{s1}) - (0.25\rho_n + 2.75\rho_{s2})$	-	Feyisa et al. (2014)
10.	AWEI <sub>sh</sub> Automated Water Extraction Index with shadow	$\rho_b + 2.5\rho_g - 1.5(\rho_n + \rho_{s1}) - 0.25\rho_{s2}$	-	Feyisa et al. (2014)

4

5 Information:

- 6 •  $\rho_{ca}$ : aerosol coastal bands (bands 1 Landsat 8)
- 7 •  $\rho_b$ : blue band (band 2 Landsat 8)
- 8 •  $\rho_g$ : green band (band 3 Landsat 8)
- 9 •  $\rho_r$ : red band (band 4 Landsat 8)

- Formatted: List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm
- Formatted: Font: Minion Pro
- Formatted: Font: Minion Pro
- Formatted: Font: Minion Pro
- Formatted: Font: Minion Pro

- 1 •  $\rho_n$ : near infrared band (band 5 Landsat 8)
- 2 •  $\rho_s$ : shortwave infrared band (band 6 or 7 Landsat 8)
- 3 •  $\rho_{s1}$ : shortwave infrared 1 band (band 6 Landsat 8)
- 4 •  $\rho_{s2}$ : shortwave infrared 2 band (band 7 Landsat 8)

Formatted: Font: Minion Pro

Formatted: Font: Minion Pro

Formatted: Font: Minion Pro

Formatted: Font: Minion Pro

5

### 6 2.3. Wetlands Extraction

7

8 For the purpose of separating wetland features and non-wetland features from spectral  
 9 indices imageries, some literature recommends a specific threshold value. However, in certain  
 10 cases, the threshold value is often not optimal. According to Ji et al. (2009), the NDWI threshold  
 11 is not a constant value, an appropriate NDWI threshold needs to be determined.

12 There are several methods of automatic thresholding used to classify digital imageries. One  
 13 of the most popular automatic thresholding methods is Otsu thresholding (Otsu, 1979). In this  
 14 research, the Otsu thresholding process is done using free open source public domain software,  
 15 namely ImageJ (Schneider et al., 2012; Schindelin et al., 2015).

16

### 17 2.4. Accuracy Assessment

18

19 Accuracy assessment was conducted using the Confusion Matrix (Stehman and  
 20 Czaplewski, 1997), using a number of sample locations were selected purposively. In this case,  
 21 the location of the sample represents multiple characters wetlands in South Kalimantan.  
 22 Namely, mangroves, salt marshes, rivers, freshwater lakes, freshwater marshes, peatlands,  
 23 peatswamps, shrub-dominated wetlands, tree-dominated wetlands, fish pond, farm ponds,  
 24 swamp rice field, irrigated land, and deep water (reservoirs, canals, and coal open pits). So,  
 25 there are a total of 15 samples for wetland classes.

26 For the purpose of assessing the deeper capabilities of each spectral index, the sample  
 27 locations were also chosen purposively on various dryland features that have the potential to  
 28 be detected as wetlands. In the appointment of the samples, the method used is knowledge-  
 29 based. There are a total of 10 samples for dryland classes. Namely, built-up lands, barelands,



1 grass, roads, dryland forest, dryland farms, garden (include mix garden, rubber plants, palm  
2 oil), and shrub and bushes.

Commented [A4]: How many samples are for each of this class?

3 A confusion matrix is constructed for each spectral index, for example for NDWI a  
4 confusion matrix will be constructed, as well as for other spectral indices. The first accuracy  
5 assessment is done in general, where each spectral index is tested for its ability to separate  
6 wetlands and drylands. From the resulting confusion matrix, the overall accuracy, kappa  
7 coefficient, producer's accuracy, user's accuracy, commission error, and omission error are  
8 calculated to obtain quantitative descriptions of the capabilities of each spectral index. The  
9 recapitulation results of overall accuracy, kappa coefficient, producer's accuracy, user's  
10 accuracy, commission error, and omission errors can be seen in Table 2.

11 Furthermore, to test the ability of each spectral index to recognize each wetland class, a  
12 confusion matrix was constructed for each spectral index in each wetland class. For example,  
13 for NDWI in the Mangroves class, a confusion matrix will be constructed. Furthermore, from  
14 the resulting confusion matrix the Producer's Accuracy value will be taken, to obtain a  
15 quantitative description of the ability of the spectral index to recognize one type of wetland. So  
16 we will get an overview of NDWI's ability to recognize Mangroves for example. Recapitulation  
17 of producer's accuracy values for each spectral index in each wetland class can be seen in Table  
18 3.

19 The final step, to test the ability of each spectral index to avoid the detection of dryland  
20 features, a confusion matrix is constructed for each spectral index in each dryland class. For  
21 example, for NDWI in the Dryland Forest class, a confusion matrix will be constructed.  
22 Furthermore, from the resulting confusion matrix the Commission Error value will be taken,  
23 to obtain a quantitative description of the ability of the spectral index to avoid the detection of  
24 one type of dryland. So that a description of NDWI's ability to avoid detecting Dryland Forest  
25 as a wetland will be obtained, for example. Recapitulation of commission error values for each  
26 spectral index in each dryland class can be seen in Table 4.

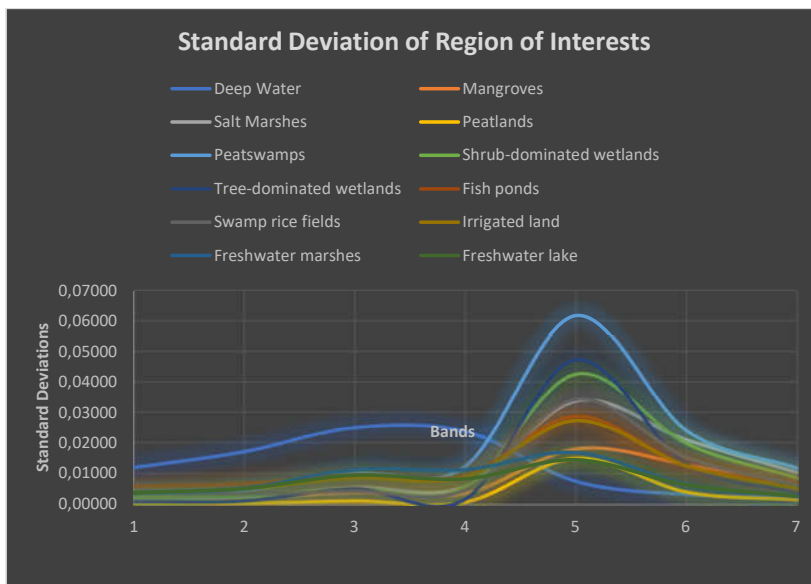
Commented [A5]: Why do you need to create confusion matrix for each wetland class and dryland class? One confusion matrix can involve all the class altogether.

### 28 3.Result and Discussion

29

1 Visual appearance of wetlands in South Kalimantan varies in tone/colour on  
2 multispectral satellite imageries such as Landsat 8. This shows quite a high degree of variation  
3 in spectral value of each type of wetlands. In the accuracy assessment, the samples were made  
4 for each type of wetlands. For the purpose to ensure that variations in the class of all wetlands  
5 are represented as possible, Region of Interest (ROI) made for every wetland types are  
6 distributed in several different locations. Figure 2 shows the Standard Deviation (SD) ROI of  
7 all wetlands in each band Landsat 8 OLI.

8



9

10 Figure 2. Standard Deviation of all wetlands types ROI in each band of Landsat 8 OLI

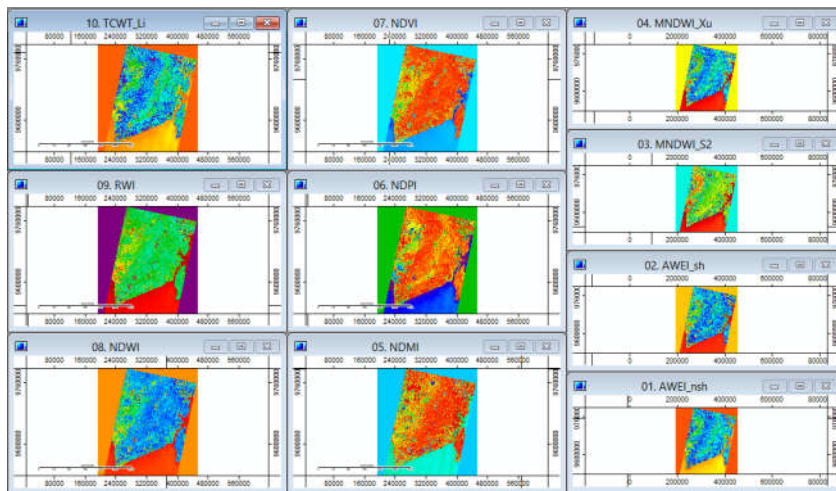
11

12 Of course, spectral indices such as NDWI cannot distinguish between mangroves and  
13 peatswamps, for example. Because spectral indices such as NDWI are only designed to  
14 recognize and separate water/wetlands from dryland features. While mangroves and  
15 peatswamps are both wetland features. In fact, the thresholding imageries results of spectral  
16 indices contains only two classes, namely Wetlands and Non-wetlands. But for the sake of  
17 accuracy assessment, the accuracy assessment ROI is made on every types of wetlands in the

1 research locations. It is intended that the spectral character of each wetland represented, and  
 2 to provide an overview of each spectral indices extraction capabilities of each type of wetlands.

3 When the overall accuracy of the assessment is done, all types of wetland features are  
 4 combined into a single class, namely the Wetlands. And all types of drylands features are  
 5 combined into a single class, namely Non-wetlands. Figure 3 shows the results of the  
 6 transformation of spectral indices were selected in this research. While Table 2 shows the  
 7 results of Otsu thresholding and accuracy assessment results of each spectral index using the  
 8 Confusion Matrix.

9



10  
 11 Figure 3. The result of the transformation of spectral indices on the SAGA application

12

13 Table 2. The Otsu thresholding and accuracy assessment results using the Confusion Matrix

No.	Spectral Indices	Otsu Threshold	OA (%)	Kappa	PA (%)	UA (%)	CE (%)	OE (%)
1.	NDVI	≤ 0.21	44.20	0.18	43.59	88.49	11.51	56.41
2.	NDWI	≥ -0.17	45.19	0.19	44.84	89.73	10.27	55.16
3.	MNDWI	≥ -0.06	68.59	0.50	84.22	99.74	0.26	15.78
4.	MNDWI <sub>2</sub>	≥ 0.07	74.82	0.59	97.54	98.13	1.87	2.46
5.	NDMI	≥ 0.13	32.68	-0.14	38.86	60.48	39.52	61.14
6.	WRI	≥ 0.51	73.02	0.50	98.61	84.61	15.39	1.39

Commented [A6]: Explain the abbreviation in the caption

Commented [A7]: Explain the abbreviation in the caption

7.	NDPI	$\leq 0.05$	65.02	0.45	77.15	99.85	0.15	22.85
8.	TCWT	$\leq 0.45$	59.32	0.37	66.37	99.95	0.05	33.63
9.	AWEI <sub>sh</sub>	$\geq -0.55$	54.15	0.31	57.11	99.99	0.01	42.89
10.	AWEI <sub>sh</sub>	$\geq -0.20$	62.46	0.41	72.53	98.87	1.13	27.47

1

2 Information:

- 3 • OA: Overall Accuracy
- 4 • PA: Producer's Accuracy
- 5 • UA: User's Accuracy
- 6 • CE: Commission Error
- 7 • OE: Omission Error

8 The use of a single method based on the spectral indices looks like it is not so qualified  
 9 in the extraction of wetlands, as well as the extraction of the open water features. Because  
 10 somehow wetlands are the composite features, which are mainly composed of water and  
 11 vegetation. Islam et al. (2014) research results are not much different from the results of this  
 12 research. Islam et al. (2014) found the spectral indices for mapping wetlands have the highest  
 13 overall accuracy of 78%.

14 Although in this research was found the spectral indices which has overall accuracy  
 15 above 70%, but when seen from the small Kappa coefficient, it seems overall accuracy was more  
 16 to conditionally. However, this study is sufficient to provide an overview comparison of the  
 17 relative accuracy of each spectral index, if used specifically for the delineation of wetland  
 18 features.

19 In general, MNDWI, MNDWI<sub>s2</sub>, and WRI, are three spectral indices overall most  
 20 accurately. However, the value of OA and Kappa both is not enough to describe the accuracy  
 21 or optimality a digital imagery transformation method in extracting particular features. From  
 22 OA has been seen that MNDWI<sub>s2</sub> implemented in this study is more accurate than MNDWI.  
 23 However, when seen from the CE, map of wetlands resulting from MNDWI a little more  
 24 accurate. For the next, we want to see, in which object successes and failures of each spectral  
 25 indices located. Based on this, we examine the PA on each of the spectral indices, for each type  
 26 of wetlands.

Formatted: Font: Minion Pro

Formatted: List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm

1 In testing the PA, each ROI at each wetland type tested separately on each thresholding  
 2 results imagery of spectral indices. This is because, each thresholding results imagery of spectral  
 3 indices does not distinguish among types of wetlands. Table 3 shows the PA for each spectral  
 4 index and each wetland type.

5 **Table 3. Producer's accuracy for each spectral index and each wetlands type**

**Commented [A8]:** What about the user's accuracy analysis?

No.	Spectral Indices	Producer's Accuracy (%)											
		Dw	Mg	Sm	Pl	Ps	Sw	Tw	Fp	Sr	Il	Fm	Fl
1.	NDVI	100	0	72.16	0	87.10	6.29	0	98.91	89.77	99.13	99.94	99.87
2.	NDWI	100	0	77.93	0	87.02	8.4	0	99.25	92.92	99.61	99.96	99.91
3.	MNDWI	100	92.77	98.87	0	98.71	90.28	41.41	99.97	99.94	100	100	100
4.	MNDWI <sub>2</sub>	100	100	96.11	99.52	97.91	97.19	99.65	99.81	99.97	100	100	100
5.	NDMI	0	100	89.61	100	24.69	99.89	100	20.14	80.39	45.69	6.99	2.40
6.	WRI	100	100	100	89.39	100	98.81	98.41	100	100	100	100	100
7.	NDPI	100	86.01	97.17	0	97.95	77.71	18.23	99.94	99.58	100	100	100
8.	TCWT	100	89.39	91.24	0	96.96	47.97	11.79	99.84	98.38	100	99.98	100
9.	AWEL <sub>nh</sub>	100	69.97	88.46	0	95.87	25.47	5.92	99.88	96.38	100	100	100
10.	AWEL <sub>sh</sub>	100	5.81	99.95	0	97.92	88.55	15.45	100	99.83	100	100	100

6

7 **Information:**

- 8 • Dw: Deep water (include river, reservoir, dam, and coal mining pits)
- 9 • Mg: Mangroves
- 10 • Sm: Salt marshes
- 11 • Pl: Peatlands
- 12 • Ps: Peatswamps
- 13 • Sw: Shrub-dominated wetlands
- 14 • Tw: Tree-dominated wetlands
- 15 • Fp: Fish ponds
- 16 • Sr: Swamp rice fields
- 17 • Il: Irrigated land
- 18 • Fm: Freshwater marshes
- 19 • Fl: Freshwater lake

20

**Formatted:** Font: Minion Pro

**Formatted:** List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm

**Formatted:** List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm

1           The entire spectral indices, except NDMI, do not have a problem when extracting the  
2 deep water features. Exclusively for NDMI, it looks like it is not appropriate to extract the open  
3 water features. NDMI successfully on lands that are quite dense vegetation cover. This is  
4 because NDMI is designed to detect moisture vegetation canopy (Gao, 1996; Jackson et al.,  
5 2004).

6           NDVI and NDWI have the same character in separating wetland features from other  
7 features. Both can be said to be successful wetlands extracting, especially wetlands with high  
8 concentration of water. However, they completely fail in identifying wetlands with dense  
9 vegetation, such as mangrove or peatlands. This is because NDVI and NDWI using the same  
10 NIR band, where vegetation will have a contrasting difference with water in NIR.

11           NDPI and TCWT ability in recognizing wetlands is almost similar to NDVI and NDWI.  
12 Only NDPI more successful in recognizing wetlands with dense canopy. Compared to NDPI,  
13 TCWT worse at recognizing wetlands topped with vegetations with a bright hue, which are  
14 commonly found in shrub-dominated wetlands and freshwater marshes.  $AWEI_{nsh}$  ability in  
15 recognizing wetlands also similar to NDPI and TCWT. However,  $AWEI_{nsh}$  failures in  
16 identifying wetlands with dense canopy worse than TCWT.  $AWEI_{sh}$  even worse at recognizing  
17 wetlands with dense canopy. Although overall,  $AWEI_{sh}$  better than  $AWEI_{nsh}$ .

18           MNDWI and  $MNDWI_{s2}$  quite successful in identifying wetlands. Except MNDWI  
19 failed to recognize the peatlands and tree-dominated wetlands. Where these two features are  
20 wetlands with dense canopy. Not so with  $MNDWI_{s2}$  capable of recognizing peatlands and tree-  
21 dominated wetlands with almost 100% accuracy. Based on this fact, our assumption when  
22 shifting SWIR1 into SWIR2 on MNDWI has been proven.  $MNDWI_{s2}$  able to recognize the  
23 characteristic spectral features that have water and vegetation spectral characteristics as well  
24 with better.

25           The ability of spectral indices for identifying wetlands (PA), is not directly indicated its  
26 ability to extract the wetlands. Because in automatic features extraction, the goal is not only  
27 that the method is able to recognize the desired features, but also how the method avoids  
28 recognizing other features. That is why, in this research we also tested the CE. In this case, CE

1 tested using dryland features in research locations. These dryland features have been selected  
 2 to investigate in which object the spectral indices encountered an error detection as wetlands.

3 Technical testing of CE is similar to the PA, which is any ROI dryland features tested  
 4 separately on each thresholding results imagery of spectral indices. Table 4 shows the CE for  
 5 each spectral index and each wetland type.

6

7 Table 4. Commission error for each spectral index and each drylands feature

No.	Spectral Indices	Commission Error (%)							
		Bu	Bl	Gr	R	F	Df	Gd	Sb
1.	NDVI	71.76	98.13	0	87.62	0	0	0	0
2.	NDWI	55.10	90.43	0	85.14	0	0	0	0
3.	MNDWI	0	0.05	0	37.15	0.47	0	0	0
4.	MNDWI <sub>12</sub>	0	0	0	0	18.65	0.05	0	0.15
5.	NDMI	1.70	0.10	100	5.57	100	91.47	100	100
6.	WRI	99.92	99.83	0	100	69.84	33.38	0.64	10.58
7.	NDPI	0	0.05	0	21.98	0.16	0	0	0
8.	TCWT	0	0	0	0	0.39	0	0	0
9.	AWEL <sub>sh</sub>	0	0	0	0	0.06	0	0	0
10.	AWEL <sub>sh</sub>	20.47	1.27	0	95.05	0.14	0	0	0

8

9 Information:

- 10 • Bu: Built-up lands
- 11 • Bl: Barelands
- 12 • Gr: Grass
- 13 • R: Roads
- 14 • F: Dryland forest
- 15 • Df: Dryland farms
- 16 • Gd: Garden (mixgarden, rubber plants, palm oil)
- 17 • Sb: Shrub and bushes

18

Formatted: Font: Minion Pro  
 Formatted: List Paragraph, Bulleted + Level: 1 + Aligned at: 0,63 cm + Indent at: 1,27 cm

1           Based on Table 3 and Table 4, it appears that NDMI cannot distinguish between dryland  
2 forest and wetlands forest. Likewise, the overall WRI has high accuracy, and as if it is able to  
3 recognize all types of wetlands with good, it fails on a number of dryland features and take it as  
4 wetlands. This translates into an overall accuracy WRI does not mean anything, because in fact  
5 it could not distinguish well between wetland features and some dryland features.

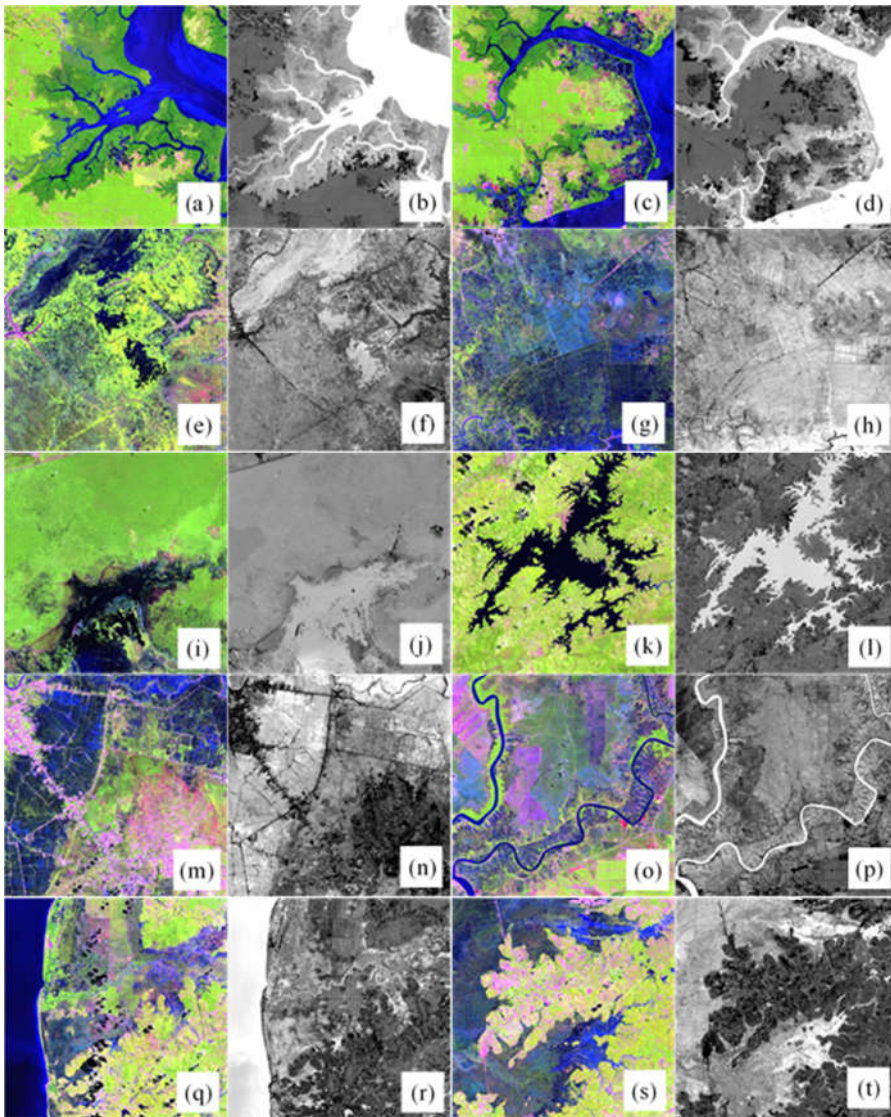
6           NDVI and NDWI that have the same character, they are also sensitive to built-up lands,  
7 roads, and barelands. NDPI better than NDVI and NDWI in distinguishing between built-up  
8 lands or barelands and wetlands. However, NDPI also slightly failed in distinguishing the paved  
9 roads to the wetlands. TCWT and AWEInsh are two spectral indices of the best in minimizing  
10 error detection wetlands. Since both spectral indices have the lowest CE. Different from  
11 AWEInsh, AWEIsh disadvantaged in distinguishing between the paved roads to the wetlands.

12           MNDWI turned out to be problematic with paved roads in the wetlands. However,  
13 MNDWI failure to distinguish between wetlands and paved roads here occurs only as a result  
14 of Otsu thresholding is negative. MNDWIs2 was almost no problems with all the dryland  
15 features, except dryland forests. Furthermore, MNDWIs2 troubled with all the dense and dark  
16 vegetation features. As with all other spectral indices, MNDWIs2 also failed to recognize the  
17 wetlands on which there are very bright vegetation features.

18           Based on the results of the accuracy assessment, it appears that MNDWIs2 is the most  
19 optimal spectral indices for the extraction of wetlands. Some experts previously also been  
20 modified MNDWI using SWIR2. Among them was Chen et al. (2005), Ji et al. (2009), Boschetti  
21 et al. (2014), and Islam et al. (2014).

22





1  
2  
3  
4  
5

Figure 4. Comparison between Landsat 8 OLI composite 654 and MNDW<sub>s2</sub>

(a) and (b) mangrove; (c) and (d) fishpond; (e) and (f) freshwater lake and freshwater marshes; (g) and (h) irrigated land; (i) and (j) peatlands and peatswamps; (k) and (l) deep clear water (reservoir); (m) and (n) swamp rice fields and tree-dominated wetlands; (o) and

1 (p) deep turbid water (river); (q) and (r) salt marshes; and (s) and (t) shrub-dominated  
2 wetlands.

3 MNDWI uses the green band and SWIR1 band. In SWIR1, vegetation features have a  
4 much higher reflectance value than in green. As a result, green subtraction with SWIR1 in  
5 MNDWI causes vegetation features to be depressed. So that wetlands with dense vegetation are  
6 not detected as wetland features in MNDWI. Not so with MNDWI<sub>s2</sub> which uses green bands  
7 and SWIR2 bands. Where in SWIR2, the reflectance value of vegetation features is not as high  
8 as in SWIR1. Even the spectral value tends to be similar to green. Thus, green subtraction  
9 using SWIR2 will not suppress vegetation features as in MNDWI. As a result, wetlands with  
10 dense vegetation can still be detected in MNDWI<sub>s2</sub>. This makes MNDWI<sub>s2</sub> the most optimal  
11 spectral index in extracting vegetation-rich wetlands such as tropical wetlands. Figure 4 shows  
12 the comparison between Landsat 8 OLI composite 654 imageries and the MNDWI<sub>s2</sub> imageries.

13 MNDWI<sub>s2</sub> can recognize deep water features as well as MNDWI. This is the  
14 implication of the use of green band that is able to capture reflections of open water features  
15 with high intensity, which is subtracted using SWIR1/SWIR2 band that do not capture  
16 reflections of open water features. Compared to MNDWI, MNDWI<sub>s2</sub> still able to capture the  
17 reflection of background water or soil moisture beneath the canopy. In the MNDWI<sub>s2</sub> imagery,  
18 built-up lands, road, and barelands, appear darker than MNDWI imagery. It is an implication  
19 of the subtraction with SWIR2. This can cause the dominant soil in wetlands background  
20 features will bring potential OE to MNDWI<sub>s2</sub>.

21

#### 22 4. Conclusion

23

24 Based on this research, the spectral indices recorded the most accurate and optimal in  
25 extracting wetlands is MNDWI<sub>s2</sub>. But MNDWI<sub>s2</sub> should be used wisely, given MNDWI<sub>s2</sub> very  
26 sensitive to dense vegetations. MNDWI<sub>s2</sub> also has potential error in wetlands with dominant  
27 soil background features. MNDWI<sub>s2</sub> not only able to recognize the deep waters as well as  
28 MNDWI, but still able to capture the wetlands with vegetations on it.

**Commented [A9]:** I don't really get it. To my knowledge, healthy vegetation with high leaf moisture content should have a low reflectance on SWIR 1 and SWIR 2. This is especially true in wetlands such as mangrove. So, why did you mention that SWIR 1 reflectance is much higher than green? Can you please provide the figure showing the spectral response of the objects you classified.

**Commented [A10]:** SWIR 1 or SWIR 2? It should be SWIR 2 right?

**Commented [A11]:** What is OE?

1 Like MNDWI, MNDWI<sub>s2</sub> also uses a green band. In spectral library, green band has  
2 the highest reflectance value of water features among all spectral bands. So that open water  
3 features can be detected properly by MNDWI<sub>s2</sub>. The advantage of MNDWI<sub>s2</sub> is the use of  
4 SWIR<sub>2</sub>, where in spectral library SWIR<sub>2</sub> band has a lower reflectance value of vegetation. So  
5 that subtraction green with SWIR<sub>2</sub> will not cause vegetation features to become depressed as  
6 in MNDWI.

7 The ability of MNDWI<sub>s2</sub> in detecting peatlands with dense canopy as wetlands was very  
8 impressive. Given the peatlands actually not always saturated with water on the surface, most  
9 of them just has a very high water content in the ground with very high moisture surfaces. Will  
10 MNDWI<sub>s2</sub> be considered as Normalized Difference Wetlands Index (NDWLI)? Well, of course,  
11 more research needs to be done to investigate.

**Commented [A12]:** Why not blue band?  
Also, which spectral library? You did not discuss anything about  
spectral library in the manuscript before.

**Commented [A13]:** But this condition is enough to make SWIR<sub>1</sub>  
and SWIR<sub>2</sub> to reflect very lowly

**Commented [A14]:** Don't use such sentence

## 13 Acknowledgement

14  
15 The author thank to the United States Geological Survey (USGS) for providing the  
16 Landsat 8 OLI imageries for free, as a main data of this research. This research was funded by  
17 the Spatial Data Infrastructure Development Center (PPIDS), University of  
18 LambungMangkurat. Digital image processing in this research was carried out at the Remote  
19 Sensing and Geographic Information System Laboratory, Faculty of Forestry, University of  
20 LambungMangkurat, Banjarbaru.

## 24 References

25  
26 Ashraf, M. and Nawaz, R..(2015). A Comparison of Change Detection Analyses Using Different  
27 Band Algebras for Baraila Wetland with Nasa's Multi-Temporal Landsat Dataset.  
28 Journal of Geographic Information System, 7, 1-19.

- 1 Boschetti, M., Nutini, F., Manfron, G., Brivio, P.A., Nelson, A..(2014). Comparative Analysis  
2 of Normalised Difference Spectral Indices Derived from MODIS for Detecting Surface  
3 Water in Flooded Rice Cropping Systems.PLoS ONE 9 (2), e88741.  
4 doi:10.1371/journal.pone.0088741
- 5 Chavez, P.S..(1988). An Improved Dark-Object Subtraction Technique for Atmospheric  
6 Scattering Correction of Multispectral Data. *Remote Sensing of Environment*, 24, 459–  
7 479.
- 8 Chavez, P.S..(1996). Image-based Atmospheric Corrections—Revisited and Improved.  
9 *Photogrammetric Engineering and Remote Sensing*, 62, 1025–1036.
- 10 Chen, D., Huang, J., and Jackson, T.J..(2005). Vegetation Water Content Estimation for Corn  
11 and Soybeans Using Spectral Indices Derived from MODIS Near- and Short-wave  
12 Infrared Bands. *Remote Sensing of Environment*, 98, 225-236.
- 13 Conrad, O., Bechtel, B., Bock, M., Dietrich, H., Fischer, E., Gerlitz, L., Wehberg, J., Wichmann,  
14 V., and Boehner, J..(2015). System for Automated Geoscientific Analyses (SAGA) v.  
15 2.1.4. *Geoscientific Model Development*, 8, 1991-2007, doi:10.5194/gmd-8-1991-2015.
- 16 Das, R.J. and Pal, S..(2016). Identification of Water Bodies from Multispectral Landsat  
17 Imageries of Barind Tract of West Bengal. *International Journal of Innovative Research  
18 and Review*, 4 (1), 26-37.
- 19 Du, Y., Zhang, Y., Ling, F., Wang, Q., Li, W., and Li, X..(2016). Water Bodies' Mapping from  
20 Sentinel-2 Imagery with Modified Normalized Difference Water Index at 10-m Spatial  
21 Resolution Produced by Sharpening the SWIR Band. *Remote Sensing*, 8, 354-372,  
22 doi:10.3390/rs8040354.
- 23 Feyisa, L.G., Meilby, H., Fensholt, R., and Proud, S.R..(2014). Automated Water Extraction  
24 Index: A New Technique for Surface Water Mapping Using Landsat Imagery. *Remote  
25 Sensing of Environment*, 140 (2014), 23–35.
- 26 Gao, B.C..(1996). NDWI A – Normalized Difference Water Index for Remote Sensing of  
27 Vegetation Liquid Water from Space. *Remote Sensing of Environment*, 58, 257-266.

- 1 Hong, G., Xing-fa, G., Young, X., Tau, Y., Hai-liang, G., Xiang-qin, W., and Qi-yue, L..(2014).  
2 Evaluation of Four Dark Object Atmospheric Correction Methods Based on XY-3 CCD  
3 Data [Abstract]. *Spectroscopy and Spectral Analysis*, 34 (8), 2203-2207.
- 4 Islam, Md.A., Thenkabail, P.S., Kulawardhana, R.W., Alankara, R., Gunasinghe, S., Edussriya,  
5 C., and Gunawardana, A..(2008). Semi - automated Methods for Mapping Wetlands  
6 using Landsat ETM+ and SRTM Data. *International Journal of Remote Sensing*, 29  
7 (24), 7077-7106, doi: 10.1080/01431160802235878.
- 8 Jackson, T.J., Chen, D., Cosh, M., Li, F., Anderson, M., Walthall, C., Doriaswamy, P., and Hunt,  
9 E.R..(2004). Vegetation Water Content Mapping Using Landsat Data Derived  
10 Normalized Difference Water Index for Corn and Soybeans. *Remote Sensing of  
11 Environment*, 92, 475-482.
- 12 Ji, L., Zhang, L., and Wylie, B..(2009). Analysis of Dynamic Thresholds for the Normalized  
13 Difference Water Index, *Photogrammetric Engineering and Remote Sensing*, 75, (11),  
14 1307-1317.
- 15 Jiang, H., Feng, M., Zhu, Y., Lu, N., Huang, J., and Xiao, T.. (2014). An Automated Method for  
16 Extracting Rivers and Lakes from Landsat Imagery. *Remote Sensing*, 6, 5067-5089.
- 17 Kwak, Y. and Iwami, Y..(2014). Nationwide Flood Inundation Mapping in Bangladesh by  
18 Using Modified Land Surface Water Index. *ASPRS 2014 Annual Conference*, Louisville,  
19 Kentucky, March 23-28, 2014.
- 20 Lacaux, J.P., Tourre, Y.M., Vignolles, C., Ndione, J.A., Lafaye, M..(2007). Classification of  
21 Ponds from High-spatial Resolution Remote Sensing: Application to Rift Valley Fever  
22 epidemics in Senegal. *Remote Sensing of Environment*, 106, 66-74.
- 23 Li, B., Ti, C., Zhao, Y., and Yan, X..(2015). Estimating Soil Moisture with Landsat Data and Its  
24 Application in Extracting the Spatial Distribution of Winter Flooded Paddies. *Remote  
25 Sensing*, 8, 38-55, doi:10.3390/rs8010038.
- 26 Li, W., Du, Z., Ling, F., Zhou, D., Wang, H., Gui, Y., Sun, B., and Zhang, X..(2013). A  
27 Comparison of Land Surface Water Mapping Using the Normalized Difference Water  
28 Index from TM, ETM+ and ALI. *Remote Sensing*, 5, 5530-5549.

- 1 Matthews, G.V.T..(2013). The Ramsar Convention on Wetlands: its History and Development.  
2 Ramsar Convention Bureau, Gland, Switzerland, p. 41.
- 3 McFeeters, S.K..(1996). The Use of the Normalized Difference Water Index (NDWI) in the  
4 Delineation of Open Water Features. *International Journal of Remote Sensing*, 17 (7),  
5 1425-1432.
- 6 Otsu, N..(1979). A Threshold Selection Method from Gray-level Histograms. *IEEE*  
7 *Transactions on Systems, Man, and Cybernetics*, 9, 62–69.
- 8 Rouse, J.W., Haas, R.H., Schell, J.A., Deering, D. W..(1973). Monitoring vegetation systems in  
9 the Great Plains with ERTS. *Third ERTS Symposium, NASA SP-351 I*, 309-317.
- 10 Schneider, C.A., Rasband, W.S., and Eliceiri, K.W..(2012). NIH Image to ImageJ: 25 Years of  
11 Image Analysis. *Nature Methods*, 9(7), 671-675, PMID 22930834.
- 12 Schindelin, J., Rueden, C.T., and Hiner, M.C. et al..(2015). The ImageJ Ecosystem: An open  
13 Platform for Biomedical Image Analysis. *Molecular Reproduction and Development*,  
14 PMID 26153368.
- 15 Shen, L. and Li, C..(2010). Water Body Extraction from Landsat ETM+ Imagery Using  
16 Adaboost Algorithm. In *Proceedings of 18th International Conference on*  
17 *Geoinformatics*, 18–20 June, Beijing, China, 1–4.
- 18 Stehman, S.V. and Czaplewski, R.L..(1997). Design and Analysis for Thematic Map Accuracy  
19 Assessment: Fundamental Principles. *Remote Sensing of Environment*, 1998 (64), 331-  
20 344.
- 21 United States Environmental Protection Agency (EPA)..(2004). *Wetlands Overview*, EPA 843-  
22 F-04-011a. Office of Water, December 2004.
- 23 Wilson, E.H. and Sader, S.A..(2002). Detection of Forest Harvest Type using Multiple Dates of  
24 Landsat TM Imagery. *Remote Sensing Environment*, 80, 385–396.
- 25 World Wildlife Fund (WWF)..(2004). *Global Lakes and Wetlands Database: Lakes and*  
26 *Wetlands Grid (Level 3)*. Washington, D.C., [http://www.worldwildlife.org/  
27 publications/global-lakes-and-wetlands-database-lakes-and-wetlands-grid-level-3](http://www.worldwildlife.org/publications/global-lakes-and-wetlands-database-lakes-and-wetlands-grid-level-3).

- 1 Yang, L., Tian, S., Yu, L., Ye, F., Qian, J., and Qian, Y..(2015). Deep Learning for Extracting  
2 Water Body from Landsat Imagery. *International Journal of Innovative Computing,*  
3 *Information and Control*, 11 (6), 1913–1929.
- 4 Xiao, X., Boles, S., Froking, S., Salas, W., Moore, B., et al..(2002). Observation of Flooding and  
5 Rice Transplanting of Paddy Rice Fields at the Site to Landscape Scales in China using  
6 VEGETATION Sensor Data. *International Journal of Remote Sensing*, 23, 3009–3022,  
7 doi:10.1080/01431160110107734.
- 8 Xie, H., Luo, X., Xu, X., Pan, H., and Tong, X..(2016). Automated Subpixel Surface Water  
9 Mapping from Heterogeneous Urban Environments Using Landsat 8 OLI Imagery.  
10 *Remote Sensing*, 8 (7), 584-599.
- 11 Xu, H..(2006). Modification of Normalized Difference Water Index (NDWI) to Enhance Open  
12 Water Features in Remotely Sensed Imagery. *International Journal of Remote Sensing*,  
13 27 (14), 3025–3033, doi: 10.1080/01431160600589179.
- 14 Zhai, K., Wu, X., Qin, Y., and Du, P..(2015). Comparison of Surface Water Extraction  
15 Performances of Different Classic Water Indices using OLI and TM Imageries in  
16 Different Situations. *Geo-spatial Information Science*, 18 (1), 32-42, doi: 10.1080/  
17 10095020.2015.1017911.
- 18 Zhang, Z., He, G., and Wang, X..(2010). A Practical DOS Model-Based Atmospheric  
19 Correction Algorithm. *International Journal of Remote Sensing*, 31 (11), 2837-2852.